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PA is finitarily consistent: A solution to the Second of Hilbert's Twenty Three Problems

mathematical theory:

(i) '... Turing machines are inappropriate as a universal foundation for problem solving, and ... computer science is a fundamentally different discipline.'

(ii) '(Turing's) 1936 paper ... proved that mathematics could not be modeled by computers.'

(iii) '... the Church-Turing Thesis ... equated logic, lambda calculus, Turing machines, and algorithmic computing as equivalent mechanisms for problem solving.'

(iv) 'Turing implied in his 1936 paper that Turing machines ... provide a model for all forms of mathematics.'

(v) '... Gödel had shown in 1931 that logic cannot model mathematics. He showed that neither logic nor algorithms can completely model human thought.'

These remarks vividly illustrate the dilemma with which not only The Sciences, but all applied sciences that depend on mathematics—for their very language to express their observations precisely—are faced:

**Query:** Are formal classical theories essentially unable to adequately model the extent and range of human cognition, or does the problem lie with the theories as classically interpreted at the moment?

The former addresses the question of whether there are absolute limits to the capacity of classical interpretations to express human cognition unambiguously; the latter, whether there are absolute limits—not necessarily absolute—to the capacity of classical interpretations to communicate unambiguously that which we intended to capture with mathematical expression.

Prima facie, applied science continues, perforce, to interpret mathematics Platonically, whilst waiting for mathematics to provide suitable, and answers as to how best it may faithfully express its observations veridically.

### Lance Fortnow

This dilemma is also reflected in Computer Scientist [Lance Fortnow](#), Wegner and Goldin's thesis, and of their reasoning.

Thus Fortnow divides his faith between the standard interpretations of mathematics (and, possibly, the standard set-theoretical models of mathematics as standard Peano Arithmetic), and the classical computational theories of Turing machines.

He relies on the former to provide all the proofs that matter:

'Not every mathematical statement has a logical proof, but logic captures everything we can prove in mathematics, which is really what matters.'

and, on the latter to take care of all essential, non-provable, truth:

'... what we can compute is what computer science is all about.'

### Can faith alone suffice?

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Does mathematics need a new foundation?

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A foundational perspective on the

However, as we shall argue in a subsequent post, Fortnow's faith in Turing Thesis that ensures:

'... Turing machines capture everything we can compute',

may be as misplaced as his faith in the infallibility of standard interpretations of mathematics.

*The reason:* There are, prima facie, reasonably strong arguments for paradigm shift; not, as Wegner and Goldin believe, in the notion of problem solving, but in the standard interpretations of classical mathematics.

However, Wegner and Goldin could be right in arguing that the direction must be towards the incorporation of non-algorithmic effective methods into mathematical theory (as detailed in the [Birmingham paper](#)); presumably, that this is, indeed, what 'external interactions' are assumed to be beyond classical Turing-computability:

(vi) '... that Turing machine models could completely describe computation ... contradicted Turing's assertion that Turing machines formalize algorithmic problem solving ... and became a dogmatic theory of computation'.

(vii) '... interaction between the program and the world (environment) during the computation plays a key role that cannot be replaced by a theory determined prior to the computation'.

(viii) '... a theory of concurrency and interaction requires a new framework, not just a refinement of what we find natural for sequential computing'.

(ix) '... the assumption that all of computation can be algorithmically simulated is widely accepted'.

A widespread notion of particular interest, which seems to be recurring in Wegner and Goldin's assertions too, is that mathematics is a dispensable science, rather than its indispensable mother tongue.

### **Elliott Mendelson**

However, the roots of such beliefs may also lie in ambiguities, in the lack of foundational elements, that allow the introduction of non-constructive, non-verifiable, non-computational, ambiguous, and essentially Platonic standard interpretations of classical mathematics.

For instance, in a 1990 philosophical reflection, Elliott Mendelson's Me90; reproduced from [Selmer Bringsjord \(Br93\)](#)), implicitly imply that the definitions of various foundational elements can be argued as being non-constructive, or both:

'Here is the main conclusion I wish to draw: it is completely unprovable that CT is unprovable just because it states an equivalence between the notion (effectively computable function) and a precise mathematical notion (recursive function). ... The concepts and assumptions that support the notion of partial-recursive function are, in an essential way, no less vague than the notion of effectively computable function; the former are just as much a part of a respectable theory with connections to other parts

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Let not posterity judge us as having spent our lives polishing the pebbles and tarnishing the diamonds

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mathematics. (The notion of effectively computable function can be incorporated into an axiomatic presentation of classical mathematics without the acceptance of CT made this unnecessary.) ... Functions are defined but the concept of set is no clearer than that of function and a foundation for mathematics can be based on a theory using function as primitive. Tarski's definition of truth is formulated in set-theoretic terms but set is no clearer than that of truth. The model-theoretic definition of truth based ultimately on set theory, the foundations of which are not based on an intuitive understanding of logical validity. ... The notion of Turing-computable function is no clearer than, nor more mathematically useful (for formal purposes) than, the notion of an effectively computable function.'

Consequently, standard interpretations of classical theory may, in addition to weakening a desirable perception—of mathematics as the lingua franca of science—by ignoring the possibility that, since mathematics is, in fact, accepted as the language that most effectively expresses and communicates truth, the chasm between formal truth and provability must, of necessity,

**Cristian Calude, Elena Calude and Solomon Marcus**

The belief in the existence of such a bridge is occasionally implicit in the literature on computational theory.

For instance, in an arXived paper [Passages of Proof](#), Computer Scientists Cristian Calude and Elena Calude and Solomon Marcus remark that:

“Classically, there are two equivalent ways to look at the mathematical proof: logical, as a finite sequence of sentences strictly obeying inference rules, and computational, as a specific type of computation. A proof given as a sequence of sentences one can easily construct a machine producing that sequence as the result of some finite computation. Given a machine computing a proof we can just print all sentences of the computation and arrange them into a sequence.”

In other words, the authors seem to hold that Turing-computability of an arithmetical proposition, is equivalent to provability of its representation.

**Wilfrid Sieg**

We now attempt to build such a bridge formally, which is essentially the arithmetical 'Decidability and Calculability' described by philosopher Wilfrid Sieg in his depth and wide-ranging survey '[On Computability](#)', in which he addresses the long-held belief that an iff bridge between the two concepts is 'impossible' for 'arithmetical predicates' (Wi08, p.602).

§2 [Bridging provability and computability: The foundations](#)

In the paper titled "[Evidence-Based Interpretations of PA](#)" that was presented at the [Symposium on Computational Philosophy](#) at the AISB/IACAP World Congress on Artificial Intelligence (Turing 2012), held from 2<sup>nd</sup> to 6<sup>th</sup> July 2012 at the University of Birmingham (reproduced in [this post](#)) we have defined what it means for a number to be:

- (i) [Algorithmically verifiable](#);
- (ii) [Algorithmically computable](#).



We have shown there that:

(i) The [standard interpretation](#)  $\mathcal{I}_{PA(N, Standard)}$  of the [first order PA](#) is finitarily [sound](#) if, and only if, [Aristotle's particularisation](#) latter is the case if, and only if, PA is  [\$\omega\$ -consistent](#).

(ii) We can define a finitarily [sound](#) algorithmic interpretation  $\mathcal{I}_{PA(N)}$  over the domain  $\mathbb{N}$  where, if  $[A]$  is an atomic formula  $[A(x_1)]$  then the sequence of natural numbers  $(a_1, a_2, \dots, a_n)$  satisfies  $[A(a_1, a_2, \dots, a_n)]$  is algorithmically computable under  $\mathcal{I}_{PA(N)}$  do not presume that Aristotle's particularisation is valid over  $\mathbb{N}$ .

(iii) The [axioms of PA](#) are always true under the finitary interpretation  $\mathcal{I}_{PA(N, Algorithmic)}$ , and [the rules of inference of PA](#) preserve satisfaction/truth under  $\mathcal{I}_{PA(N, Algorithmic)}$ .

We concluded that:

**Theorem 1:** The interpretation  $\mathcal{I}_{PA(N, Algorithmic)}$  of PA is finitarily sound.

**Theorem 2:** PA is consistent.

### §3 Extending Buss' Bounded Arithmetic

One of the more significant consequences of the [Birmingham paper](#) is the iff bridge between the domain of provability and that of computability. We extend Buss' Bounded Arithmetic by showing that an arithmetical formula  $[A]$  is PA-provable if, and only if,  $[A]$  interprets as true under an algorithmic interpretation  $\mathcal{I}_{PA(N)}$ .

### §4 A Provability Theorem for PA

We first show that PA can have no non-standard model (for a distinct from the standard model). In this convention-challenging thesis see [this post](#) and [this paper](#), since PA is 'algorithmically' complete in the sense that:

**Theorem 3:** (*Provability Theorem for PA*) A PA formula  $[F(x)]$  is PA-provable if, and only if,  $[F(x)]$  is algorithmically computable as always true in  $\mathbb{N}$ .

**Proof:** We have by definition that  $[F(x)]$  interprets as true under the algorithmic interpretation  $\mathcal{I}_{PA(N)}$  if, and only if,  $[F(x)]$  is algorithmically computable as always true in  $\mathbb{N}$ .

Since  $\mathcal{I}_{PA(N)}$  is finitarily [sound](#), it defines a finitary model—say  $\mathcal{M}_{PA(\beta)}$ —such that:

If  $[(\forall x)F(x)]$  is PA-provable, then  $[F(x)]$  is algorithmically computable as always true in  $\mathbb{N}$ ;

If  $[\neg(\forall x)F(x)]$  is PA-provable, then it is not the case that  $[F(x)]$  is algorithmically computable as always true in  $\mathbb{N}$ .

Now, we cannot have that both  $[(\forall x)F(x)]$  and  $[\neg(\forall x)F(x)]$  are PA-provable for some PA formula  $[F(x)]$ , as this would yield the contradiction:

(i) There is a finitary model—say  $\mathcal{M}_{1\beta}$ —of  $PA + [(\forall x)F(x)]$  where  $[F(x)]$  is algorithmically computable as always true in  $\mathbb{N}$ .

(ii) There is a finitary model—say  $M_{2,\beta}$ —of  $PA + [\neg(\forall x)F]$  the case that  $[F(x)]$  is algorithmically computable as always.

The lemma follows.  $\square$

## §5 The holy grail of arithmetic

We thus have that:

**Corollary 1:** PA is categorical finitarily.

Now we note that:

**Lemma 2:** If PA has a sound interpretation  $\mathcal{I}_{PA(N, \text{Sound})}$  of PA formula  $[F]$  which is algorithmically verifiable as always true in  $\mathcal{I}_{PA(N, \text{Sound})}$  even though  $[F]$  is not PA-provable.

**Proof** In his seminal 1931 paper on formally undecidable arithmetic Kurt Gödel has shown how to construct an arithmetical formula—say  $[R(x)]$  <sup>[1]</sup>—such that  $[R(x)]$  is not PA-provable <sup>[2]</sup>, but  $[R(x)]$  is PA-provable for any given PA numeral  $[n]$ . Hence, for any given PA numeral  $[n]$ , the formula  $x B [R(n)]$  must hold for some  $x$ . The lemma follows.

By the argument in Theorem 3 it follows that:

**Corollary 2:** The PA formula  $[\neg(\forall x)R(x)]$  defined in Lemma 2 is not PA-provable.

**Corollary 3:** Under any sound interpretation of PA, Gödel's formula  $[R(x)]$  is algorithmically verifiable, but not algorithmically computable, in  $\mathcal{N}$ .

**Proof** Gödel has shown that  $[R(x)]$  <sup>[3]</sup> interprets as an arithmetical tautology <sup>[4]</sup>. By Corollary 2  $[R(x)]$  is not algorithmically computable in  $\mathcal{N}$ .  $\square$

**Corollary 4:** PA is *not*  $\omega$ -consistent. <sup>[5]</sup>

**Proof** Gödel has shown that if PA is consistent, then  $[R(n)]$  is true for any given PA numeral  $[n]$  <sup>[6]</sup>. By Corollary 2 and the definition of  $\omega$ -consistency then it is *not*  $\omega$ -consistent.  $\square$

**Corollary 5:** The standard interpretation  $\mathcal{I}_{PA(N, \text{Standard})}$  of PA is not sound, and does not yield a finitary model of PA <sup>[7]</sup>.

**Proof** If PA is consistent but not  $\omega$ -consistent, then Aristotle's particularisation does not hold over  $\mathcal{N}$ . Since the 'standard', interpretation of PA appears to be a particularisation, the lemma follows.  $\square$

Since formal quantification is currently interpreted in classical logic <sup>[8]</sup> as Aristotle's particularisation over  $\mathcal{N}$  as axiomatic <sup>[9]</sup>, the above suggests that we should review number-theoretic arguments <sup>[10]</sup> that appeal unrestrictedly to Aristotelian logic.

## §6 The Provability Theorem for PA and Bounded Arithmetic

In a 1997 paper <sup>[11]</sup>, Samuel R. Buss considered Bounded Arithmetic

(a) limiting the applicability of the Induction Axiom Schema in PA with quantifiers bounded by an unspecified natural number bound

(b) 'weakening' the statement of the axiom with the aim of differentiating effective computability over the sequence of natural numbers, from 'polynomial-time' computability over a bounded sequence of natural numbers [12].

Presumably Buss' intent—as expressed below—is to build an iff bridge between provability in a Bounded Arithmetic and Computability so that a  $\Pi_k$  formula  $f(x)$ , is provable in the Bounded Arithmetic if, and only if, there is an algorithm that, given numeral  $[n]$ , decides the  $\Delta_{(k/(k-1))}$  formula  $[f(n)]$  as 'true':

If  $[(\forall x)(\exists y)f(x, y)]$  is provable, then there should be an algorithm that, given numeral  $[n]$ , decides  $[f(n)]$  as 'true' as a function of  $x$  [13].

Since we have proven such a Provability Theorem for PA in the previous section, the question arises:

### §7 Does the introduction of bounded quantifiers yield any advantage?

Now, one difference [14] between a Bounded Arithmetic and PA is that in the Bounded Arithmetic that, from a proof of  $[(\exists y)f(n, y)]$ , we may conclude that there is some numeral  $[m]$  such that  $[f(n, m)]$  is provable in the arithmetic, this is not a finitarily sound conclusion in PA.

*Reason:* Since  $[(\exists y)f(n, y)]$  is simply a shorthand for  $[\neg(\forall y)\neg f(n, y)]$ , this presumption implies that Aristotle's particularisation holds over the bounded arithmetic under any finitarily sound interpretation of PA.

To see that (as Brouwer steadfastly held) this may not always be the case, consider  $[(\forall x)f(x)]$  as [15]:

There is an algorithm that decides  $[f(n)]$  as 'true' for any given numeral  $[n]$ .

In such case, if  $[(\forall x)(\exists y)f(x, y)]$  is provable in PA, then we can only conclude that

There is an algorithm that, for any given numeral  $[n]$ , decides that there is an algorithm that, for any given numeral  $[m]$ , decides  $[f(n, m)]$  as 'true'.

We cannot, however, conclude—as we can in a Bounded Arithmetic—that

There is an algorithm that, for any given numeral  $[n]$ , decides that there is an algorithm that, for some numeral  $[m]$ , decides  $[f(n, m)]$  as 'true'.

*Reason:*  $[(\exists y)f(n, y)]$  may be a Halting-type formula for some numeral  $[n]$ .

This could be the case if  $[(\forall x)(\exists y)f(x, y)]$  were PA-unprovable, but  $[f(n, m)]$  is provable for any given numeral  $[n]$ .

Presumably it is the belief that any finitarily sound interpretation of PA would require particularisation to hold in  $\mathbb{N}$ , and the recognition that the latter does not, that has led to the reduction of provability to computability in PA, which has led to considering the effect of bounded quantification in PA.

However, as we have seen in the preceding sections, we are able to recover computability through the Provability Theorem for PA by recognising that, contrary to any interpretation of PA which requires Aristotle's particularism, any interpretation of PA which requires Aristotle's particularism cannot be finitarily sound!

The postulation of an unspecified bound in a Bounded Arithmetic in the provability-computability link thus appears dispensable.

The question then arises:

### §§ Does 'weakening' the PA Induction Axiom Schema yield any advantage?

Now, Buss considers a bounded arithmetic  $S_2$  which is, essentially, a 'weakened' Induction Axiom Schema, PIND <sup>[16]</sup>:

$$\{f(0) \ \& \ (\forall x)(f(\lfloor \frac{x}{2} \rfloor) \rightarrow f(x))\} \rightarrow (\forall x)f(x)$$

However, PIND can be expressed in first-order Peano Arithmetic PA

$$\{f(0) \ \& \ (\forall x)(f(x) \rightarrow (f(2 * x) \ \& \ f(2 * x + 1)))\} \rightarrow (\forall x)f(x)$$

Moreover, the above is a particular case of PIND( $k$ ):

$$\{f(0) \ \& \ (\forall x)(f(x) \rightarrow (f(k * x) \ \& \ f(k * x + 1) \ \& \ \dots \ \& \ f(x)))\} \rightarrow (\forall x)f(x).$$

Now we have the PA theorem:

$$[(\forall x)f(x) \rightarrow \{f(0) \ \& \ (\forall x)(f(x) \rightarrow f(x + 1))\}]$$

It follows that the following is also a PA theorem:

$$\{f(0) \ \& \ (\forall x)(f(x) \rightarrow f(x + 1))\} \rightarrow \{f(0) \ \& \ (\forall x)(f(x) \rightarrow (f(k * x) \ \& \ f(k * x + 1) \ \& \ \dots \ \& \ f(x)))\}$$

In other words, for any numeral  $[k]$ , PIND( $k$ ) is equivalent in PA to the Induction Axiom of PA!

Thus, the Provability Theorem for PA suggests that all arguments about Bounded Arithmetic can be reflected in PA without any loss of generality.

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## Notes

[Return to 1](#): Gödel refers to this formula only by its Gödel number  $\ulcorner \varphi \urcorner$ .

[Return to 2](#): Gödel's immediate aim in Go31 was to show that  $\lceil \forall x \neg \text{Pr}(x) \rceil$  is not provable; by Generalisation it follows, however, that  $\lceil \text{Pr}(x) \rceil$  is also not provable.

[Return to 3](#): Gödel refers to this formula only by its Gödel number  $\ulcorner \varphi \urcorner$ .

[Return to 4](#): Go31, p.26(2): " $\lceil \forall x \neg \text{Pr}(x) \rceil$  holds".

[Return to 5](#): This conclusion is contrary to accepted dogma. See, for remarks in Da82, p.129(iii) that:

“... there is no equivocation. Either an adequate arithmetical logic is which case it is possible to prove false statements within it) or it has decision problem and is subject to the limitations of Gödel’s incompleteness theorem.”

[Return to 6](#): Go31, p.26(2).

[Return to 7](#): I note that finitists of all hues—ranging from Brouwer Brouwer to Yessenin-Volpin He04—have persistently questioned the finitary [standard](#) interpretation  $\mathcal{I}_{PA(N, Standard)}$ .

[Return to 8](#): See Hi25, p.382; HA28, p.48; Be59, pp.178 & 218.

[Return to 9](#): In the sense of being intuitively obvious. See, for instance Rg87, p.308 (1)-(4); EC89, p.174 (4); BBJ03, p.102.

[Return to 10](#): For instance Rosser’s construction of an undecidable proposition in PA (see Ro36)—which does not explicitly assume that  $\mathbb{N}$ —implicitly presumes that Aristotle’s particularisation holds over  $\mathbb{N}$ .

[Return to 11](#): Bu97.

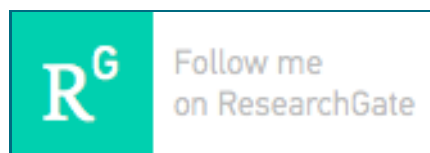
[Return to 12](#): See also Pa71.

[Return to 13](#): See Bu97.

[Return to 14](#): We suspect the only one.

[Return to 15](#): We have seen in the earlier sections that such an interpretation is sound.

[Return to 16](#): Where  $\lfloor \frac{x}{2} \rfloor$  denotes the largest natural number lower than  $\frac{x}{2}$ .



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