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POLICY

## Global public private partnerships: part I a new development in

Partenariats mondiaux public-privé: partie I un nouveau développement  
domaine de la santé?

Fórmulas de asociación mundiales entre los sectores público y privado: par  
nuevo avance en el campo de la salud?

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### ABSTRACT

The proliferation of public private partnerships is rapidly reconfiguring the international he:  
This article (part I of two on the subject) traces the changing nature of partnership, and discu

definitional and conceptual ambiguities surrounding the term. After defining global public-private partnerships (GPPPs) for health development, we analyse the factors which have led to the rise of public and private actors and discuss the consequences of the trend toward partnership between agencies (including the World Bank) and commercial entities in the health sector. Generic factors such as globalization and disillusionment with the UN, and factors specific to the health sector, such as failure in product development for orphan diseases, are examined. Reviewed are the interests, practices and concerns of the UN, the private-for-profit sector, bilateral organizations, and governments of low-income countries with respect to public-private partnership. While GPPPs bring much needed resources to problems of international health, we highlight concerns regarding this new organizational format. Part II, which will be published in the May issue of the *Bulletin*, presents a conceptual framework for analysing health GPPPs and explores the issues raised.

**Keywords:** world health, trends; intersectoral cooperation, history; public sector; private sector; United Nations; public policy.

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## RÉSUMÉ

La prolifération des partenariats public-privé est en train de remodeler rapidement le paysage international. Cette première partie d'un article en deux volets décrit la nature multiforme du phénomène et examine les ambiguïtés, tant sur le plan de la définition que sur le plan conceptuel, qui entourent ce type de partenariats. Après avoir défini les partenariats mondiaux public-privé (PMPP) en faveur du développement de la santé, nous analysons les facteurs qui ont conduit au rapprochement des acteurs du secteur public et du secteur privé et nous examinons les conséquences de la tendance aux partenariats entre les organisations des Nations Unies (y compris la Banque mondiale) et des entités commerciales dans le secteur privé. Les facteurs génériques tels que la mondialisation et la désillusion vis-à-vis des Nations Unies, et les facteurs spécifiques au domaine de la santé, comme l'échec commercial du développement de produits pour les maladies rares, sont examinés. L'article passe en revue, dans l'optique des partenariats public-privé, les intérêts, politiques, pratiques et préoccupations des organisations des Nations Unies, des partenariats public-privé à but lucratif, des organisations bilatérales et des gouvernements des pays à faible revenu. Alors que les PMPP apportent des ressources bienvenues pour faire face aux problèmes de santé internationale, nous relevons un certain nombre de questions concernant ce nouveau type de partenariats. La partie II, qui sera publiée dans un prochain numéro du *Bulletin*, présentera un cadre conceptuel pour l'analyse des PMPP dans le domaine de la santé et examinera les problèmes qui pourraient être soulevés.

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## RESUMEN

La proliferación de formas de colaboración entre los sectores público y privado de salud internacional...

La promeracion de formas de colaboracion entre los sectores publico y privado esta reconu  
rápidamente el panorama sanitario internacional. En este artículo (parte I de dos sobre el te  
la naturaleza cambiante de esas asociaciones y se analizan las ambigüedades definicionales  
conceptuales que rodean esa expresión. Después de definir las fórmulas de asociación mun  
los sectores público y privado (FAMPP) para el desarrollo sanitario, analizamos los factores  
la convergencia de los actores públicos y privados y examinamos las consecuencias de la te  
la formación de alianzas entre organismos de las Naciones Unidas (incluido el Banco Mund  
entidades comerciales del sector sanitario. Se analizan factores genéricos como la globaliza  
reacciones de decepción ante las Naciones Unidas, y factores específicos del sector de la salt  
fallos del mercado en el desarrollo de productos huérfanos . Se examinan los intereses, po  
prácticas y preocupaciones de las Naciones Unidas, del sector privado con fines de lucro, de  
organizaciones bilaterales y de los gobiernos de países de bajos ingresos en relación con las  
bien éstas reportan recursos muy necesarios para abordar los problemas sanitarios internac  
hemos destacado aquí varios aspectos de la nueva fórmula organizacional que suscitan pre  
la segunda parte del artículo, que se publicará en un futuro número del *Bulletin*, se presenta  
conceptual para analizar las FAMPP para la salud y se examinan los problemas planteados.

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## Introduction

The latter half of the 1990s witnessed a burgeoning number of initiatives involving collabora  
the corporate and public sectors with the purpose of overcoming market and public failures  
international public health, using global public private partnerships for health developmen  
of such a partnership is provided by the International AIDS Vaccine Initiative, incorporating  
public and private interests which have undertaken to share the risks, costs and benefits of re  
effective vaccine against human immunodeficiency virus (HIV). While such partnerships bri  
resources into the international public health arena and have the potential to benefit large p  
they also blur the traditional distinctions between the public and private sector s aims and re

This is the first of two articles in which we explore global public private partnerships. In part  
the concept of partnership and delineate what we mean by global public private partnership  
health development. We then turn to the context from which these partnerships are emergin  
particularly on changes confronting the United Nations and the corporate community during  
Part II, which is scheduled to appear in the next issue of the *Bulletin*, discusses a conceptual  
understanding the different forms of global public private partnership in the health sector, a  
the implications of GPPPs for the 21st century, looking at issues of governance and equity.

## What are GPPPs for health development?

The notion of partnerships for development cooperation is not new. As early as 1969, the Pearson Commission on International Development considered the nature of partnership between donor and recipient countries. The Commission suggested that the formation of a partnership requires specification of reciprocal rights and obligations, and the establishment of clear objectives that are beneficial to both parties (1). Subsequently, numerous definitions have been proposed to clarify what partnership means, focusing on objectives, responsibilities and gains. The essence of partnership is a relationship based upon agreement, reflecting mutual responsibilities in furtherance of shared interests (2). In the health sector, WHO describes partnership as a means to bring together donor and recipient for the common goal of improving the health of populations based on mutually agreed roles and principles (3). In this definition, agreement on key principles is thought to be crucial, as well as the maintenance of a balance of power between the parties, to enable each to retain its core values and identities. WHO proposes that these core ethical principles should include the following: beneficence (should lead to public health gain); non-maleficence (must not lead to ill-health); autonomy (must not undermine each partner's autonomy); and equity (benefits should be distributed to those most in need) (4).

Some definitions add an operational element by envisioning a partnership as a collaborative arrangement between entities to work toward shared objectives through a mutually agreed division of labor. Another type of partnership may also include a mechanism to assess success and make adjustments. A third type of partnership is an agreement to work together to fulfil an obligation or undertake a specific task by committing resources and sharing the risks as well as the benefits (6).

However, although partnership is often defined as having some or all of the above features, it suffers from a lack of specificity. Although donor-recipient relations remain the dominant paradigm, the focus, for many aid agencies, has today moved away from geopolitical relations towards more selective recipient partner commitments, longer time horizons, and responsiveness to recipient priorities and equality, as expressed through sector-wide approaches. Nongovernmental organizations (NGOs) still talk of community groups as their dominant partners, but are increasingly exploring the implications of partnership with the corporate sector (9). The secondment of private sector staff to multilateral organizations, such as the secondments from Merck & Co. to WHO's Self Medication Industry to WHO's Tobacco Free Initiative, has also been described as a partnership (10).

If the notion of partnership is non-specific, so is the definition of partner. The Global Forum on Health Research (11) defines a partnership as ... a group of allies sharing the goals, efforts and rewards of an undertaking. Allies, however, may bring different levels of knowledge, expertise, and financial resources. Partnerships, which could be complementary but might also bestow different levels of influence, may use different terms to describe themselves: as partners in a partnership to one audience and as allies to another. The International AIDS Vaccine Initiative describes itself as having just five partner organizations and 17 organizational donors (not including many individuals). The role of any one partner may change over time, from active to passive. Partners may be defined by organization or individual. Partners may also be involved at different levels within the partnership. For example, although the corporate sector might not be involved in the governing bodies it may act as an integral partner at a task force level.

committee or other level. This was seen in the Children's Vaccine Initiative, where the private sector was involved only at the operational level (12).

Although a GPPP might refer to a relationship between just two parties (e.g. a fund-raising venture between UNICEF and TransContinental Hotels), we have focused on partnerships which involve more than two partners because these are more complex forms of new global partnership, and less is known about them. For example, in pursuing partnerships, industry has tended either to establish a foundation, or to work through an existing or new non-profit organization in order to interface with its public sector partner. Thus Merck & Co. involved the Task Force on Child Survival and Development<sup>1</sup> in its Mectizone Program, as did Glaxo Wellcome for their donation of Malarone (atovaquone) (13, 14). Many partnerships also include bilateral or civil organizations, including academic or other research organizations, ministries of health or indigenous NGOs in developing countries.

This article also focuses mainly on partnerships related to drugs and vaccines developed and used to treat infectious diseases, because this is the area where the greatest partnership activity has taken place. However, GPPPs are emerging in a number of additional health-related fields, including tobacco control, drug dependence (15) and contraceptive technology development (16).

It is beyond the scope of this article to characterize partnerships on the basis of the definition of a partner, or to specify what level of involvement is required to be considered a partner, but this remains an important area for research. Instead, we explore specific forms of partnerships that are global in scope: collaborative relationships between public and private-for-profit organizations in the health sector. We define a GPPP as a collaborative relationship which transcends national boundaries and brings together three parties, among them a corporation (and/or industry association) and an intergovernmental organization, so as to achieve a shared health-creating goal on the basis of a mutually agreed-upon division of labour.

## Why have partnerships emerged?

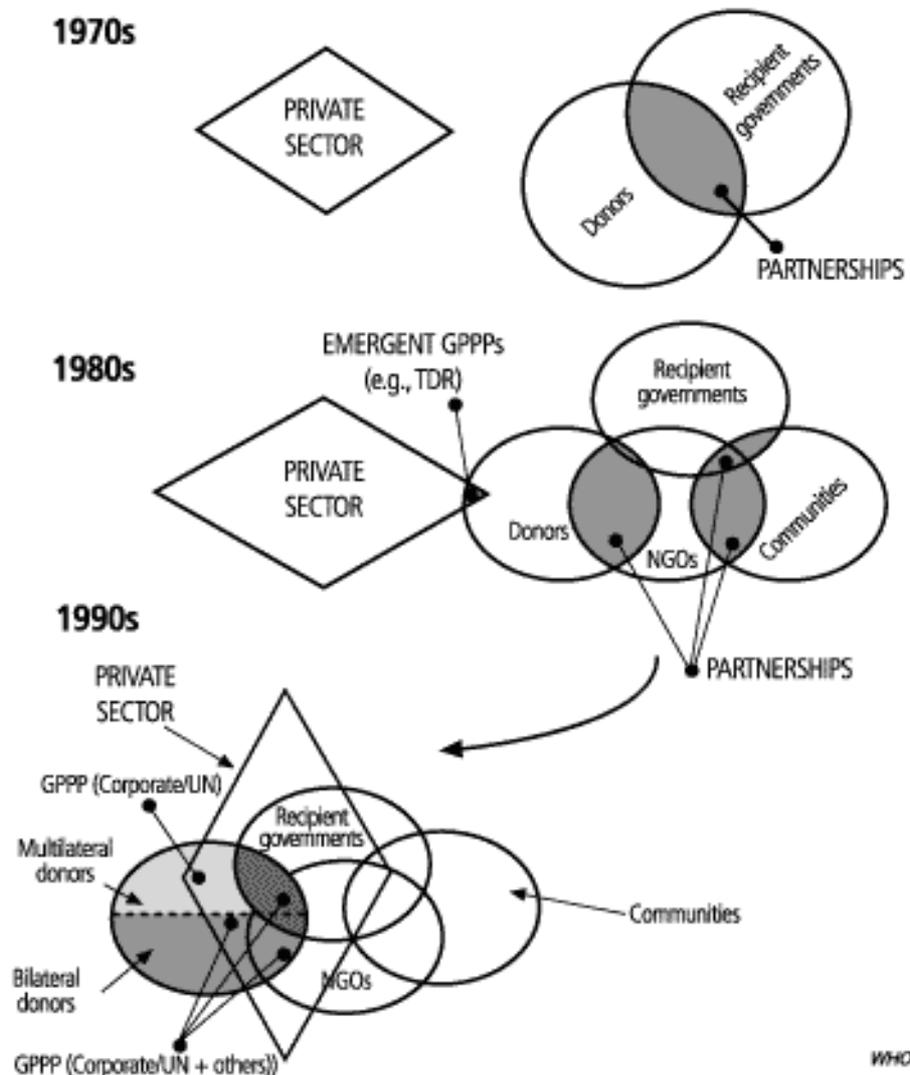
### Background

Until the late 1970s, there was minimal collaboration between private and public sectors within the international development system, and relationships were often abrasive, with little trust on either side. Partnerships that did exist were largely limited to public sector relationships between donor and recipient country governments (5). Although the UN Charter allowed for suitable arrangements for consultation with non-governmental, not-for-profit organizations, the relationship between governments and NGOs in the 1960s hardly constituted partnerships. Consultation was formal, sometimes through advisory committees, with NGOs often being described as 'pressure groups' (17).

By the late 1970s and early 1980s, as neoliberal ideologies influenced public policy and attitudes, relationships began to change (18). Influential international organizations acknowledged an increasing role for the private sector (19). Donors looked beyond the state for collaborators in development and began to form broader relationships. For example, the World Bank adopted its first Open

Note on NGOs in 1981, and established an NGO World Bank committee in 1982 and a central committee in the mid-1980s. Donor agencies channelled increasing funds through NGOs and by 1998 a total of \$10 billion of total overseas development aid was filtered through NGOs (20). Joint action between UN agencies such as UNICEF and WHO, and NGOs such as the Baby Food Action Network, challenged industry policies and the International Code on Breast-milk Substitutes and essential drugs policies (21, 22). While so-called public-private encounters were initially conflict-ridden and characterized by distrust, by the end of the 1980s they had given way to tentative explorations of ways to link up NGOs, industry and the public sector. This diagram illustrates the shift in private and public roles.

**Fig. 1. Shift in private and public relationships.** (Shown is the shift in the relationships between organizations over this time, with the gradual convergence of the public and private-for-profit sectors after an initial period marked by discreet or minimal collaboration, to an era in which linkages were forged more openly, to current full-scale enthusiastic endorsement of partnership).



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Entente between private-for-profit (corporate) and public sectors in particular, was the result of changes in the context of international cooperation for health. First, the 1990s were marked by an ideological shift from freeing to modifying the market. While many claim that the age of pure public service is over (23), most advocates of free markets have moderated their position.

pure public service is over (23), most advocates of free markets have moderated their position. They are now arguing for a continuing role for the public sector, particularly within the area of health where markets are inefficient and equity is harder to achieve (24). A World Bank official, for example, noted that where markets are concerned, a pure market mechanism generally does not work... we are therefore not really creating a pure market situation, but a modified market mechanism incorporating a whole set of safeguards to protect all the parties concerned (25).

This ideological shift is not based solely on economic philosophy but also on changes to the sociopolitical orthodoxy. In the United Kingdom, New Labour's third way ethos exemplified neocorporatism<sup>2</sup> in which a variety of stakeholders, including private sector representatives, are to have a legitimate say in public policy-making (27). In the context of this ideological shift, it has been suggested that the UN may see the benefits of industry partnership as re-legitimizing the UN, enabling it to regain a more central position in global policy-making. For example, the Corporate Governance Observatory argues that ... working with the International Chamber of Commerce diversifies the UN's image, which in some countries, including the United States, is not ideal (28).

### **Influential factors in the emergence of GPPPs**

The second contextual shift that has created fertile ground for GPPPs, is the growing disillusion with the UN and its agencies. Concerns about the effectiveness of the UN, including increasing overlapping mandates and interagency competition, led directly towards the establishment of partnerships to deal with specific and limited issues. The Task Force on Child Survival and Development, which represents an early partnership established between a number of UN agencies and the Rockefeller Foundation, emerged out of impatience with the rivalry between WHO and UNICEF over primary care, differences in approaches, and the perception of WHO's lack of progress on immunization. Partnerships that are housed outside the UN bureaucracy are viewed as a way of getting things done when industry is involved, getting things done efficiently. Discussions surrounding the establishment of the Medicines for Malaria Venture (a public private drug research partnership) led to agreement that the organization should run as a not-for-profit-business and be based on operational paradigms of the private sector, not the public sector (29).

Negative perceptions of UN effectiveness have provided financial impetus for partnerships in that they have imposed a policy of zero real growth in UN budgets and shifted toward supplementary (often private and earmarked) funding. These funding trends have made GPPPs attractive to the UN: resources provided by the private sector are more than welcome; they are necessary (30).

Third, there has also been an increasing recognition that the determinants of good health are complex and the health agenda is so large that no single sector or organization can tackle it alone. Emerging health problems required a range of responses beyond the capacity of either the public or private sectors to address independently, and therefore bridges had to be built between them (31). Some specific health problems are so formidable that single sectors are unlikely to have the necessary resources (political, technical, financial, scientific) to address them (e.g. the development of vaccines against malaria or acquired immunodeficiency syndrome (AIDS)).

The previous point relates to a new appreciation and explicit understanding of how the actions of one sector affect the ability of the other to achieve its goals, and how partnership can result in win-win outcomes.

sector affect the ability of the other to achieve its goals, and how partnership can result in win-win interactions between private and public actors. There was, for example, an honest recognition by the public sector of the unique, unrivalled monopoly of the pharmaceutical industry in drug development: They own the ball. If you want to play, you must play with them (32). Batson demonstrated how the public sector's maximization of the role of immunization is inextricably linked to the decisions or behaviour of the vaccine-pharmaceutical industry, and conversely how the pharmaceutical industry is conditioned by the signals sent out by major public sector players (33). She has also shown how UNICEF's centralized procurement of developing countries' vaccines for the Expanded Programme on Immunization (EPI) ensured low prices, but also signalled that the public sector was not interested in encouraging pharmaceutical companies to invest in research and development for new vaccines that would benefit poor countries.

Changing markets and technology have heightened this appreciation of inter-dependence. I have seen how new developments in biotechnology are making drug and vaccine discovery and development increasingly expensive (34, 35), as are changes in intellectual property rights (36). Concomitant with the consolidation of the pharmaceutical industry has led to greater competition within companies, increasing the opportunity costs associated with investment in tropical diseases (37-39). These factors have encouraged some health advocates to explore ways in which public and private decisions could join forces to develop and provide health promoting goods to developing countries at a reasonable price, while minimizing risk and guaranteeing a return to the private sector.

Emerging avenues include the concept of tiered pricing for products, e.g. the Children's Vaccine Initiative which championed the idea that EPI vaccines should be available to public sector programmes in developing countries reflecting the countries' ability to pay (40, 41); guaranteeing markets to encourage drug/vaccine development and commercialization, e.g. the International AIDS Vaccine Initiative proposed an International Vaccine Purchase Fund that would provide loans to low-income countries for HIV vaccine purchase and development (42); and public sector assumption of risks associated with drug/vaccine development, e.g. exchange for intellectual property rights e.g., the Medicines for Malaria Venture, which provides resources to private drug companies to develop promising drug candidates (29).

Economic globalization may also have provided impetus to the private sector to enter into partnerships with the UN. Business believes that the rules of the game for the market economy, previously established almost exclusively by national governments, must be applied globally if they are to be effective. In the absence of a global framework of rules, business looks to the United Nations and its agencies (43).

### **GPPPs and the corporate sector**

The trend towards GPPPs may be related to the change in public attitudes and the growing recognition by the private sector to concerns and vocal demands for corporate responsibility and accountability. Corporations themselves have realized their need to take into account broader responsibilities to society (44). This recognition has been stimulated by the strength of consumer, environmentalist, and women's society group actions in industrialized countries, which have challenged international companies in a number of spheres and won considerable concessions (45). For example, the announcement by Monsanto in late 1999 that it would not be pursuing sterile seed technology because of public concerns about such developments (46).

GPPPs can improve corporate image. One company executive explained that public pressure is the highest consideration in terms of why his company sought partnerships with the public health sector. The positive experience of Merck's donation of Mectizan (ivermectin) to onchocerciasis control programmes in a number of countries where it is endemic played an extremely important role in stimulating further pharmaco-philanthropy (48).

## The context of global public private partnerships

This section analyses the perspectives of the United Nations system, industry, bilateral donor and recipient governments with respect to GPPPs. [Box 1](#) summarizes UN perspectives on the benefits of public-private partnership.

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### Box 1. United Nations interests in global public-private partnerships

- 1. Harness private sector for human development** — perception that public sector cannot single-handedly bring about successful sustainable development.
  - 2. Bestow legitimacy on United Nations** — being seen to involve industry in the affairs of the UN may win it support among various constituencies (e.g., American Congress).
  - 3. Bestow authority on United Nations** — public-private partnerships fit closely with current third-way politics (i.e. corporatist political theory involving industry as a stakeholder in the affairs of the UN may harness industrial support for its work).
  - 4. Enable the UN to fulfil its functions and mandates** — in light of zero real growth budgets, financial, material, technical and other assistance from the private sector may allow the UN and its agencies to meet its commitments.
  - 5. Enable the UN agencies to leverage financing and advice from the private sector** — in furtherance of UN mandates.
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## United Nations

*In today's interdependent world, the United Nations and the private sector need each other.*

UN Secretary-General Kofi Annan

In 1998, Kofi Annan addressed the World Economic Forum on his overhaul of the UN, describing a 'quiet revolution' which would enable it to face the challenges of a new global era and place the UN in a stronger position to work with business and industry (50). He also indicated that a fundame

occurred whereby the United Nations, which once dealt only with governments, recognized and prosperity cannot be achieved without partnerships involving governments, international organizations, the business community and civil society.

Shortly thereafter, Kofi Annan met with representatives of the International Chamber of Commerce which produced a joint statement on common interests, proposing that broad political and economic changes have opened up new opportunities for dialogue and cooperation between the United Nations and the private sector (51). Two main areas were suggested: establishing an effective regulatory framework for globalisation; and raising the productive potential of poor countries by promoting the private sector. Within this context, there was a call to intensify the search for partnerships.

A year later, Annan reiterated his case to industry and the UN, proposing that industry and the UN be brought into a global compact of shared values and principles, which will give a human face to the global economy and broadened the sphere of mutual interests to human rights, labour standards and environmental protection practice (52). The ICC responded positively, but not surprisingly, suggesting that the compact should include a fourth value: the economic responsibility incumbent upon any company to its customers, to society, to employees and to its shareholders (53).

### **United Nations Conference on Trade and Development (UNCTAD)**

UNCTAD is the principle organ of the United Nations in the field of trade and development. Its mandate is to maximize the trade, investment, and development opportunities of developing countries and to help them to face the challenges of globalization. In 1999, UNCTAD launched a partnership initiative with industry through which UNCTAD and ICC would work jointly to produce investment guides for developing income countries with the aim of encouraging greater foreign direct investment. In each country, a multinational corporation will sponsor the effort (54). According to Maria Cattai, the Secretary-General of the ICC, the project gives practical expression to the closer working relationship between ICC and the UN system (55). As a result of UNCTAD involvement with the ICC, however, concern has been voiced that UNCTAD is losing its direction and spirit (54).

### **United Nations Development Programme (UNDP)**

*A strong relationship exists between sustainable human development and the growth of shareho*

In support of Kofi Annan's aims of closer UN industry cooperation, UNDP is planning the Global Sustainable Development Facility (GSDF). This aims to bring together leading corporations in an effort to ensure the inclusion of two billion new people in the global market economy by 2015 (56). GSDF will be established as a separate legal entity outside the UN system and will be jointly governed by participating corporations and will benefit from the advice and support of the UN through a special relationship (56). It will be funded mainly through contributions from the participating corporations. While GSDF's activities will be determined by its participants, UNDP anticipates that the initiative would include developing products and services adapted to the emerging markets of the poor. To UNDP, involvement of industry in the initiative will bring sponsoring corporations the following benefits: governmental and institutional contacts at the highest levels; valuable insights in

... conditions... worldwide recognition for their corporation... a specially designed logo for the ( initiative, highlighting its special relationship with the UNDP (56). As of March 1999, 16 corp joined project discussions. Each of the firms provided UNDP with US\$ 50 000 for the project number had agreed to be represented on a steering committee and to act as special advisors

Critics allege that the companies (many accused of labour, environmental and human rights seeking to greenwash their reputations through association with the UNDP (57). Public inter assert that the GSDF joint venture raises the spectre of UNDP programs and priorities incre diverted to serve corporate shareholder interests rather than those of the poor (58). It has a argued that the GSDF dodges a number of UNDP s own fund-raising guidelines (59). The gu prohibit donors from advertising their ties to UNDP, as this might imply UNDP endorsemen goods, and stipulate that donors past and present operations must not be ethically, socially controversial. Apparently these conditions were not applied in the case of the GSDF. One cc argued that initiatives such as the GSDF will exacerbate the UN s dependence on fickle dono threatening its integrity and independence (60).

### **United Nations Children s Fund (UNICEF)**

UNICEF claims that it has the most extensive corporate involvement of any single UN body its partnerships are limited to fund-raising and mutual image enhancement but UNICEF is a a number of health sector GPPPs. The Global Vitamin A Partnership provides one example. partnership includes WHO, US Agency for International Development (USAID), Canadian In Development Agency (CIDA), United Kingdom Department for International Development ( as companies which fortify foods such as Kellogg s, and Procter & Gamble (62).

Despite, or perhaps because of, UNICEF s prodigious engagement in public private partners UNICEF s Executive Director, Carol Bellamy, acknowledges that it is dangerous to assume t the private sector are somehow synonymous with those of the United Nations, because they emphatically are not (63). She urges UN agencies to carefully and constantly appraise their with private businesses motivated by profit. This entails identifying organizations whose be balance, shows evidence of a willingness to exercise corporate responsibility. Bellamy is als that the relationship should not be focused solely on money as industry s comparative adva in knowledge and experience.

### **World Bank**

*Priority will be given to leveraging our finance and advice by partnership with others thus deve selective approach to our business.*

The World Bank has long advocated the need for enhanced participation by the private secto development. A recent World Bank discussion paper re-affirmed that there is a growing rec the private sector has a direct stake along with governments, the civil society, and donors a development (5). The World Bank reasons that if national development planning fails to inc

sector input, it would severely erode goodwill. It also contends, in line with the UN Secretary-General's report, that there has been a paradigm shift. We are moving from a world in which the state had sole responsibility for public good and business maximized profits independently of the interests of the state (64). Specifically, the role of industry has expanded into domains traditionally held by the government, which entails not only increased responsibility toward the community but also explicit recognition that the interests of the firm and society are intertwined. The World Bank has adopted as an emerging development methodology; an approach to development which aims to maximize the benefits of the market.

Among the stated reasons for the World Bank's interest in public private partnerships is the potential they offer for leveraging its finance and advice. Among the activities supported by the World Bank's three-year initiative to establish an informal global network of business, civil society organizations and state entitled Business Partners for Development (BPD). Through support and analysis of a range of selected partnerships, the BPD aims to learn lessons so as to foster more and more successful partnerships. As such, the initiative, will provide a powerful additional instrument for the World Bank's advisory services to governments, particularly as they relate to social consequences of privatization. From the World Bank's perspective, successful GPPPs provide another means to ameliorate the negative effects of its privatization policies.

### **World Health Organization (WHO)**

*We need open and constructive relations with the private sector and industry.*

Gro Harlem Brundtland, WHO Director-General

Gro Harlem Brundtland has suggested that a strategic objective of WHO is to be more innovative in creating influential partnerships because the broad health agenda is too big for WHO alone. A press release argued that through partnerships we can enhance significantly our ability to mobilize political and, therefore, financial support for health development and international health cooperation (68).

A WHO Working Group identified a number of issues that must be addressed in developing partnerships with the corporate sector so as to ensure WHO's reputation as an impartial holder of health values. These included the articulation of WHO policy regarding the particular industry and the individual industry as well as considering the appropriateness of the proposed activity. In terms of individual activities, the Working Group was concerned that procedures be established to ensure that real or perceived conflicts of interest are avoided, in particular that: (a) final normative decisions are free from undue influence of industry funding is not used for salaries of staff involved in normative decisions; (c) consultations for other normative activities never have their majority financing from the concerned industry. The extent to which it will be to follow these procedures in practice will be of importance to the outcomes of partnerships with the private sector.

The Working Group also outlined the following risks inherent in partnering with the corporate sector. WHO's reputation as impartial holder of health values may be tarnished by association with products; WHO's judgement on a particular product or service may be impaired due to financial

products; WHO's judgement on a particular product or service may be impaired due to financial considerations and the relationship with sponsor; and WHO involvement with a specific company perceived as acceptance of unhealthy products, etc. (3). In June 1999, WHO issued draft and final guidelines governing its collaboration with the private sector; these included the proposal that collaboration with commercial entities would be subject to review by the WHO Ethics Commission newly established Committee on Private Sector Collaboration (4).

As part of its partnership strategy, WHO has established a Working Group with pharmaceutical representatives. According to WHO, the group will attempt to overcome obstacles to drug access and improved cooperation between the public and private sectors (69). WHO has entered into a number of GPPPs and the great majority of the health partnerships described in part II of this report have some degree of WHO involvement.

### **United Nations Joint Programme on HIV/AIDS (UNAIDS)**

UNAIDS is itself an interagency partnership of its seven co-sponsoring UN agencies. Its strategy is based on partnership and includes a corporate sector initiative which seeks corporate financial involvement in UNAIDS activities.

UNAIDS has joined in a number of health GPPPs, including some which are loose coalitions which are more tightly governed. The last-mentioned includes the UNAIDS HIV/AIDS Drugs Initiative (also known as Bridging the Gap), which was launched in November 1997. During its pilot phase, four developing countries agreed to improve their health infrastructure to ensure distribution and use of HIV/AIDS related drugs, while participating pharmaceutical and diagnostic companies were to subsidize the purchase of these drugs (72). Under the scheme, a national drugs advisory board was to be established in each of the pilot countries and the pharmaceutical companies were to establish and fund a non-profit company to act as a clearing house for drug imports. UNAIDS was to provide US\$ 1 million for, among other things, oversight of the advisory board. UNAIDS asked each company to donate US\$ 25 000 in each pilot country to help fund an independent body that would buy AIDS drugs at steep discounts and closely monitor their administration to prevent misuse and theft (72).

UNAIDS officials found that while sympathetic to the problem, the companies were uncomfortable with introducing tiered drug pricing in African countries. In the opinion of UNAIDS, the drug industry was principally concerned with protecting huge AIDS drug profits in the US and Europe (73). The first company to join the partnership was Glaxo Wellcome, which lowered prices of AZT (zidovudine or Retrovir) by about two-thirds for Uganda and Côte d'Ivoire in June 1998. Glaxo Wellcome suggested that this act of apparent generosity was linked to the fact that the patent on AZT expires in 2005 and that the company wanted to maintain sales (74). By mid 1998, Bristol Myers Squibb, Teknika, Glaxo Wellcome, Hoffman La Roche, and Virco NV were all partners in Bridging the Gap.

In 1998, UNAIDS entered into a partnership with the Female Health Company in an effort to make condoms more readily available in developing countries (75). The partnership consists of a price discounting agreement negotiated between UNAIDS and the company, for use in public sector projects in developing countries. While the condom is sold for between US\$ 2-3 in the industrialized world, under the agreement it is sold for US\$ 0.50-0.80 in participating countries (76). In phase one, during 1998,

agreement it is sold for US\$ 0.50-0.50 in participating countries (76). In phase one, during 1997-1998, the partnership covered 16 countries. Phase two, which began in 1999, aims to expand coverage of the programme dramatically.

A more prominent GPPP (Securing the Future) was announced by UNAIDS on 6 May 1999, with Bristol Myers Squibb making a commitment of US\$ 100 million over five years to improve HIV research and outreach in Botswana, Lesotho, Namibia, South Africa, and Swaziland. This represents a partnership between Bristol Myers Squibb, governments, UNAIDS, the Harvard AIDS Institute and a number of schools of medicine. It is not clear what role UNAIDS will play. Although Bristol Myers Squibb produces three AIDS drugs, the partnership does not include drug donations but will fund research and the training of physicians and provide support via NGOs to improve prevention and treatment programmes. The partnership will complement the broader efforts of governments (77).

## Global business

The role and influence of GPPPs in the global business arena is summarized in [Box 2](#).

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### Box 2. Industry's interests in global public-private partnerships

- 1. Increased influence in the global arena** — affords opportunity for involvement in the articulation, interpretation and implementation of global rules governing trade, health standards and reform of the UN.
  - 2. Increased influence at the national level** — the use of United Nations system to gain access to policy-makers, institutions, information, etc (including proximity to regulatory process).
  - 3. Direct financial benefits** — tax breaks; market identification, development, penetration and manipulation.
  - 4. Brand and image promotion** — increased global recognition; improved image through association with United Nations.
  - 5. Increased authority and added legitimacy through association with UN.**
  - 6. Enhanced corporate citizenship.**
- 

## International Chamber of Commerce (ICC)

*There is no intention on the part of business to usurp the democratic function or to dictate to the organizations.*

ICC consists of over 7000 member companies and business associations in more than 130 countries. During 1997 and 1998, ICC embarked on a strategy to enhance its visibility and influence at the United Nations and to become the voice of business vis-à-vis the UN (78). Former President of ICC, Helmut Schmidt, was concerned that the power of world business has been poorly...organised on the international level and to make its voice heard (54). Consequently, ICC established, in its words, a systematic dialogue with the United Nations (79) in an effort to redress this perceived threat to its interests.

As part of its strategy, ICC conceived the Geneva Business Partnership (43). Established in 1998, it brought together 450 business leaders to meet with representatives of international organizations so as to determine the rules of the game and to establish global rules for an ordered liberalism (54). Among the activities organized during the Geneva Business Partnership were a series of lunches between ICC delegates and heads of international organizations including WHO, WTO and the ILO. These were open neither to the press nor public.

The Corporate Europe Observatory argues that the interests of ICC in seeking a partnership with international organizations are threefold: to gain control of global rule setting and influence over international regulatory institutions; to prevent proactively the institutions from taking an anti-business stance; and to gain legitimacy from association with the respected UN agencies (54).

### **GPPPs and health regulation**

As businesses have grown and consolidated and their transactions have become global, industry has made a concerted effort to participate in public policy agenda-setting and policy formulation through international organizations, so much so that observers have referred to the privatization of public policy. In the health sector there is an increasing move towards the globalization of pharmaceutical regulation, for example through the European Union's centralized drug approval system. While the increasing international regulatory cooperation undermines national regulatory sovereignty, some argue that the loss of autonomy has been offset by gains in effectiveness and efficiency of government regulation. However not all countries are convinced that globally agreed rules act in their favour. In 1996, a dispute occurred between the pharmaceutical industry, the US Administration and South Africa, who asserted its right to license local manufacturers to make anti-HIV/AIDS medicines unless the pharmaceutical companies voluntarily reduced their prices (82).

### **GPPPs and image promotion**

A more immediate benefit of GPPPs to business lies in the realm of public relations, image enhancement and brand development. Business seems to be convinced by research which suggests that consumers with identical products will choose the brand they recognize (83). Consequently, companies invest heavily to project their brand and to create a favourable public image. Merck, which sponsors the Mectran Program, acknowledges that ... the programme has served to enhance Merck's corporate image, to increase recognition of Merck's name, and helped build relationships and alliances between Merck and its constituents (84). Public relations events have included a gala dinner at the UN and a major press conference in the *New York Times*. The donation may also have given the company an opportunity to present its views to WHO and the international community of public health officials. In May 1994, the WHO Director-General ruled that a Merck spokesperson could address the World Health Assembly, the first time in the history of the Assembly that a corporation was permitted to participate (85).

## Bilateral organizations and OECD governments

Many bilateral organizations are beginning to see the potential advantages of GPPPs (Box 3) to seek collaboration with industry. USAID's New Partnership Initiative (1995) sought specifically to strengthen its work with small businesses; moreover, USAID is also active in a number of global health GPPPs, such as the Global Vitamin A Partnership. DFID argues that partnership is good and it is keen to develop a different way of working in partnership with the private sector which will play a key role in poverty alleviation (6). DFID suggests that three types of partnership models are likely to emerge.

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### Box 3. **Bilateral agencies' interests in GPPPs**

1. Tap resources for international development.
  2. Facilitate direct opportunities for national industries and companies.
  3. Improve operating environments for national industries and companies.
  4. Bolster influence within recipient country.
  5. Bring private sector efficiency into public sector bureaucracy.
- 

Those which improve the operating environment for business. In this type of partnership, DFID identifies the key legislative and regulatory reforms which would result in a more favourable business climate, and DFID facilitates access to government officials and provides technical assistance to recipient countries with the envisioned reforms.

Those which strengthen the socioeconomic environment through investment in social infrastructure to create more healthy employees or provide new market opportunities (e.g. in Bangladesh) through an initiative by the local private sector to improve the health care of women workers in textiles.

Those which involve the development of new products and business opportunities with development applications. DFID is prepared to share the risks of these developments where they would not occur or would be less efficient without the Department's involvement. For example, early talks are underway between DFID and research-based pharmaceutical companies in the United Kingdom working together to develop new approaches to combat malaria (6). DFID policy statement on partnership initiatives that involve DFID affiliation with private companies, and although many of these public private partnerships may involve international agencies, there is little discussion of how these relationships will be pursued (7).

The role of bilateral organizations has sometimes been crucial in the establishment of GPPP. Currat, formerly with the Swiss Agency for Development and Cooperation, was instrumental

informal discussions between industry and public officials while the Medicines for Malaria V being established (29).

## Recipient countries<sup>4</sup>

Little is known about the perspectives of governments in low-income countries regarding the public private health partnerships, although officials in ministries of health have made appropriate statements when they have been on the receiving end of drug donations or tiered pricing discounts. In respect to the UNAIDS Bridging the Gap partnership, the Ugandan Minister of Health expressed his support, noting 'we warmly welcome this initiative' (72). Similarly, the Egyptian Minister of Health welcomed SmithKline Beecham's donation of Albendazole as an 'important public private sector initiative' and promised to stimulate enormous progress in our efforts to eliminate lymphatic filariasis globally.

However, when it is perceived that due process has not been observed or when partnerships are seen to exclude ministry officials, reactions have been less favourable. After the recent launch of the UNAIDS/Bristol Myers Squibb's Secure the Future partnership, the governments of both Nigeria and South Africa initially rejected the partnership claiming that they had not been consulted in its formation as they had only been represented by academic institutions (87). Questions were also raised about the ethics of conducting clinical trials in Africa where the drugs will be unaffordable, and the training of health care providers and physicians in the US on drug regimens, methods and equipment that are unavailable in Africa.

Even where recipient ministries are more fully involved, it is conceivable that the availability of large amounts of external resources for partnership programmes, initiated at the global level, may have a number of potentially negative consequences. Initiatives may divert resources to health projects that are considered of lower national priority and these may create or exacerbate internal rivalries for funds and other resources. Many partnerships, particularly those that are product-based, depend on inputs from recipient countries (e.g., drug distribution, infrastructure development, training, etc.). For example, in the United Republic of Tanzania, as one consequence of the donation of azithromycin by Pfizer Inc. and Co., the head of preventive services in the ministry of health has been seconded to the tuberculosis control programme so as to oversee the donation programme. For example, in the case of Ethiopia, the cost per fully immunized child is estimated at US\$ 1.50, whereas the full cost (including salaries, training, etc.) is US\$ 15.00 (88). Not only does this raise questions concerning the rational allocation of counterpart funds, but also how effectively initiatives are likely to be implemented where national ownership is lacking. The donation of the combination antimalarial drug Malarone by GlaxoSmithKline to Kenya has raised a number of dilemmas and challenges for the government (89). First, there is concern that the drug by-passed the routine regulatory processes and it appears on neither the Kenyan national drug list nor the WHO Model List of Essential Drugs. Second, the lack of legislation preventing private use coupled with the likelihood that public sector workers will use Malarone as a first-line treatment may make it difficult to prevent drug resistance from developing. A Donor Coordination Group was initiated by Merck & Co. to identify ways that companies could collaborate in reducing the burden of malaria on ministries of health involved in these programmes (90).

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**Box 4. Recipient's interests and concerns regarding GPPPs**

1. Appreciative of additional resources targeted at select health problems and opportunities to strengthen existing infrastructures.
  2. Ministry of Health officials concerned about initiatives in which they are not sufficiently involved.
  3. There is concern that GPPPs may divert domestic resources from national priorities, or other needs.
  4. Donation programmes may provide more opportunities for corruption or leakage from the programme into other sectors.
- 

Finally, it remains to be seen how developing countries will react to the trend of shifting technical and normative discussions from WHO forums (where developing countries have some representation) to the governing boards and technical advisory committees of the GPPPs (where it appears that they are not represented).

## Conclusions

This article sets the background and context to a changing landscape of collaboration within health and development. Where international health was once dominated by the public sector agencies and bilateral organizations, with some NGO participation, today there is greater pluralism of actors, and much closer involvement of the corporate sector. One of the most recent, and arguably significant, areas of collaboration is through what we have termed GPPPs – forms of organization that transcend national boundaries, and bring together a number of different partners to pursue common health goals.

There are many reasons why more organizations are embarking on health partnerships at both national and international levels. Shifting ideologies and trends in globalization have highlighted the need for better governance, an issue for both private and public sectors. We suggest that at least some of the impetus for GPPPs stems from this recognition, and a desire on the part of the private sector to be part of regulatory decision-making processes. There is also increasing recognition that the actions of one organization reverberate on others, and searching for common ground may be fruitful and lead to win-win interactions in an increasingly interdependent world. This has provided a forceful justification for the creation of public private partnerships.

However, while there are many positive aspects to these new GPPPs, there is also a great deal of uncertainty and some cause for concern. We have argued that public and private sectors are based on differing ethos and principles, but how these unique attributes will be affected by partnerships remains to be seen. Are all partners equally influential in all situations and do differing levels of influence

where all are allied in a common purpose? The under-resourced UN's resolute drive toward: with the private sector derives, at least in part, from a position of financial weakness. This, co perception that many UN agencies are over-bureaucratic and inefficient, may undermine UN within GPPPs. The nature and extent to which the act of partnering with the commercial sect the perceived authority and neutrality of the multilateral actors is too early to judge, but und guidelines and limited public disclosure of information surrounding GPPPs do raise questic example, recipient country partners may be excluded from GPPP decision-making. This type likely to be exacerbated as avenues for effective national interest articulation through UN ch circumscribed in favour of mechanisms for global governance through GPPPs. In part II of th address some of these concerns, suggesting a conceptual framework for describing GPPPs, a number of questions for future governance of GPPPs concerning representation, accountabi competency and resources. Finally, we suggest that research in this area is essential. ■

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1 Task Force on Child Survival and Development is itself a partnership between WHO, UNICEF, World Bank and the Rockefeller Foundation with the secretariat based at the Carter Center, Atlanta, USA.

2 As early as 1987, Peters (26) described the corporatist nature of GPPPs (i.e. a form of third party government).

3 In an effort to coordinate corporate responses to the AIDS epidemic, UNAIDS has played a role in establishing the Global Business Council on HIV/AIDS which was launched in October 1997. This is a separate, independent body composed of a group of major corporations and business associations with which UNAIDS works closely (71).

4 While the term low-income may be more value-neutral, we use the term recipient in that it better characterises the role of low-income governments in most GPPPs.



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